

# SUNRISE CAPITAL PARTNERS LLC

## Privacy Notice

### ***Protecting Your Privacy***

Sunrise Capital Partners LLC ("SCP") is committed to providing investors with the highest standard of service. As part of our commitment, we protect your privacy and the confidentiality of personal information that you provide to us. This communication contains a short summary of our Privacy Policy. It is intended to help you understand what information we collect, how we protect this information, and with whom your information is shared.

### ***What personal information do we collect?***

SCP may collect nonpublic information to process and administer investors' business and to ensure that we are satisfying their financial needs. This includes:

- Information provided to SCP, such as on applications, questionnaires, contracts, or other forms.
- Transactions, account balances, account history, and transactions with us, affiliates or third parties.
- Information provided by clients and their representatives.

### ***Information we Disclose***

*We do not disclose information regarding you or your account except as permitted or required by law, or as necessary to provide services to you. We may disclose information regarding you or your account under the following circumstances and only the minimum amount of information will be shared:*

- *To entities that perform services for us or you, or function on your behalf, including, but not limited to: our affiliates and financial service providers, such as a custodian, broker-dealer, investment company, portfolio manager, portfolio reporting vendor, or insurance company;*
- *To consumer reporting agencies; and*
- *To your attorney, trustee or anyone else who represents you in a fiduciary capacity.*

*We may also share your information as required by law or to comply with regulatory inquiries to government entities or other third parties in response to subpoenas or other legal process.*

### ***Confidentiality and Security***

*We restrict access to nonpublic personal information about you to those employees who need to know that information to provide financial products or services to you. We*

*maintain physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.*

**Accuracy**

*SCP strives to maintain accurate personal information in our client files at all times. However, as personal situations, facts and data change over time; we encourage our clients to provide feedback and updated information to help us meet our goals.*

**Closed or Inactive Accounts**

If you decide to terminate your relationship with SCP, our Privacy Policy will continue to apply to you.

**Complaint Notification**

Please direct complaints to: Jarod Winters, Chief Compliance Officer at Sunrise Capital Partners LLC, 1000 Aviara Parkway, Suite 205, Carlsbad, CA 92011. Phone: (858) 259-8911 or [jwinters@sunrisecapital.com](mailto:jwinters@sunrisecapital.com).

**Changes to This Privacy Policy**

If we make any substantial changes in the way we use or disseminate confidential information, we will notify you. If you have any questions concerning this Privacy Policy, please contact us at: Sunrise Capital Partners LLC, 1000 Aviara Parkway, Suite 205, Carlsbad, CA 92011. Phone: (858) 259-8911 or [jwinters@sunrisecapital.com](mailto:jwinters@sunrisecapital.com).